

Diane H. Engelman, Ph.D.

Clinical Psychology

Clinical Neuropsychology

PSY License #11457

21 Tamal Vista Blvd., #162

Corte Madera, California 94925

Phone: 707-291-3680

HIPAA NOTICE OF PRIVACY PRACTICES California Notice Form

Notice of Psychologists' Policies and Practices to Protect the Privacy of Your Health Information

I. This notice describes how psychological and medical information about you may be used and disclosed and how you can get access to this information.

II. I have a Legal Duty to Safeguard Your Protected Health Care Information (PHI).

I am legally required to protect the privacy of your PHI, which includes information that can be used to identify you that I have created or received about your past, present, or future health or condition, the provision of health care to you, or the payments of this health care. I must provide you with this Notice about my privacy practices, and such Notice must explain how, when, and why I will “use” and “disclose” your PHI.

III. Disclosures for Treatment, Payment, and Health Care Operations

I may use or disclose your *Protected Health Information* (PHI), for purposes of certain treatment, payment, and health care operations without your authorization. In certain circumstances I can only do so when the person or business requesting your PHI gives me a written request that includes certain promises regarding protecting the confidentiality of your PHI. To help clarify these terms, here are some definitions:

- “*PHI*” refers to information in your health record that could identify you.
- “*Treatment, Payment, and Health Care Operations*”
 - *Treatment* is when I or another healthcare provider diagnoses or treats you. An example of treatment would be when I consult with another health care provider, such as your family physician or another psychologist, regarding your treatment.
 - *Payment* has to do with obtaining reimbursement for your healthcare. Examples of payment are when I disclose your PHI to your health insurer so that you may obtain reimbursement for your health care or to determine eligibility or coverage.

- *Health Care Operations* is when I disclose your PHI to your health care service plan (for example your health insurer) or to other health care providers contracting with your plan or administering the plan, such as case management and care coordination.
- “*Use*” applies only to activities within my office such as sharing, employing, applying, utilizing, examining, and analyzing information that identifies you.
- “*Disclosure*” applies to activities outside of my office, such as releasing, transferring, or providing access to information about you to other parties.
- “*Authorization*” means your written permission for specific uses or disclosures.

IV. Uses and Disclosures Requiring Authorization

I may use or disclose PHI for purposes outside of treatment, payment, and health care operations when your appropriate authorization is obtained. In those instances when I am asked for information for purposes outside of treatment and payment operations, I will obtain an authorization from you before releasing this information. I will also need to obtain an authorization before releasing my “psychotherapy notes” about your case to a third party. Psychotherapy notes are notes that I have made about our conversation during a private, group, joint, or family counseling session and that I have kept separate from the rest of your medical record. These notes are given a greater degree of protection than PHI.

You may revoke or modify all such authorizations (of PHI or psychotherapy notes) at any time; however, the revocation or modification is not effective until I receive it.

I will also obtain an authorization from you before using or disclosing the following:

- PHI in a way that is not described in this Notice.
- Psychotherapy notes

V. Uses and Disclosures with Neither Consent nor Authorization

I may use or disclose PHI without your consent or authorization in the following circumstances:

- **To Operate my Practice:** In order to run my business, certain of your PHI may be known to a bookkeeper, accountant, attorney, or psychological or medical consultant. These are considered “business associates” under HIPAA and they are all bound to confidentiality. In all cases, these associates are only given as much access to your PHI as is necessary for them to do their jobs and/or to provide a service to my practice.

- **Child Abuse:** Whenever I, in my professional capacity, have knowledge of or observe a child I know, or reasonably suspect, has been the victim of child abuse or neglect, I **must** immediately report such to a police department or sheriff's department, county probation department, or county welfare department. Also, if I have knowledge of or reasonably suspect that mental suffering has been inflicted upon a child or that his or her emotional well-being is endangered in any other way, I may report such to the above agencies.
- **Adult and Domestic Abuse:** If I, in my professional capacity, have observed or have knowledge of an incident that reasonably appears to be physical abuse, abandonment, abduction, isolation, financial abuse, or neglect of an elder or dependent adult, or if I am told by an elder or dependent adult that he or she has experienced these or if I reasonably suspect such, I **must** report the known or suspected abuse immediately to the adult protective services agency or the local law enforcement agency.

I do not have to report such an incident if

- 1) I have been told by an elder or dependent adult that he or she has experienced behavior constituting physical abuse, abandonment, abduction, isolation, financial abuse or neglect; **and**
 - 2) I am not aware of any independent evidence that corroborates the statement that the abuse has occurred; **and**
 - 3) the elder or dependent adult has been diagnosed with a mental illness or dementia, or is the subject of a court-ordered conservatorship because of a mental illness or dementia; **and**
 - 4) in the exercise of clinical judgment, I reasonably believe that the abuse did not occur.
- **Health Oversight:** If a complaint is filed against me with the California Board of Psychology, the Board has the authority to subpoena confidential mental health information from me relevant to that complaint.
 - **Judicial or Administrative Proceedings:** If you are involved in a court proceeding and a request is made about the professional services that I have provided you, I must not release your information without 1) your written authorization or the authorization of your attorney or personal representative; 2) a court order; or 3) a subpoena duces tecum (a subpoena to produce records) where the party seeking your records provides me with a showing that you or your attorney have been served with a copy of the subpoena, affidavit, and the appropriate notice, and you have not notified me that you are bringing a motion in the court to block or modify the subpoena. The privilege does not apply when you are being evaluated for a third party or where the evaluation is court-ordered. I will inform you in advance if this is the case.

- **Serious Threat to Health or Safety:** If you or your family member communicate to me that you pose a serious threat of physical violence against an identifiable victim, I must make reasonable efforts to communicate that information to the potential victim and the police. If I have reasonable cause to believe that you are in such a condition, as to be dangerous to yourself or others, I may release relevant information as necessary to prevent the threatened danger.
- **Worker's Compensation:** If you file a worker's compensation claim, I may disclose to your employer certain of your medical information. This information is that a) created as a result of employment-related health care services provided to you at the specific prior written consent and expense of your employer and b) so long as the requested information is relevant to your claim and c) provided that the information is only used or disclosed in connection with your claim and describes your functional limitations provided that no statement of medical cause is included.
- **Certain Narrowly Defined Disclosures:** Sometimes disclosures are required, as follows: To law enforcement agencies; to a health oversight agency (such as HHS or a state department of health); to a coroner or medical examiner; for public health purposes related to disease or FDA-regulated products; or for specialized government functions such as fitness for military duties, eligibility for VA benefits, and national security and intelligence.

VI. Patient's Rights and Psychologist's Duties

Patient's Rights

- *Right to Restrict Disclosures When You Have Paid for Your Care Out-of-Pocket:* You have the right to restrict certain disclosures of PHI to a health plan when you pay out of pocket.
- *Right to Request Restrictions:* You have the right to request restrictions on certain uses and disclosures of protected health information about you. However, I am not required to agree to a restriction you request.
- *Right to Receive Confidential Communications by Alternative Means and at Alternative Locations:* You have the right to request and receive confidential communications of PHI by alternative means and at alternative locations. For example, you may not want a family member to know that you are seeing me. Upon your request, I will send your bills to another address.

- *Right to Inspect and Copy:* You have the right to inspect and/or obtain a copy of your PHI (but not of my psychotherapy notes) in mental health and billing records used to make decisions about you for as long as the PHI is maintained in the record. I may deny your access to PHI under certain circumstances, but in some cases you may have this decision reviewed. On your request, I will discuss with you the details of the request and denial process.
- *Right to Amend:* You have the right to request an amendment of PHI for as long as the PHI is maintained in the record. I may deny your request. On your request, I will discuss with you the details of the amendment process.
- *Right to an Accounting:* You generally have the right to receive an accounting of disclosures of PHI for which you have neither provided consent nor authorization (as described in Section III of this Notice). On your request, I will discuss with you the details of the accounting process.
- *Right to a Paper Copy:* You have the right to obtain a paper copy of the notice from me upon request, even if you have agreed to receive the notice electronically.
- *Right to Be Notified if There is a Breach of Your Unsecured PHI:* You have the right to be notified if a) there is a breach (a use or disclosure of your PHI in violation of the HIPAA Privacy Rule) involving your PHI; b) your PHI has not been encrypted to government standards; and c) my risk assessment fails to determine that there is a low probability that your PHI has been compromised.

Psychologist's Duties

- I am required by law to maintain the privacy of PHI and to provide you with a notice of my legal duties and privacy practices with respect to PHI.
- I reserve the right to change the privacy policies and practices described in this notice. Unless I notify you of such changes, however, I am required to abide by the terms currently in effect.
- If I revise my policies and procedures, I will mail it to you within 14 days.

VII. Questions and Complaints

If you have questions about this notice, disagree with a decision I make about access to your records, or have other concerns about your privacy rights, you may contact Diane H. Engelman, Ph.D., at 707-291-3680.

If you believe that your privacy rights have been violated and wish to file a complaint with me, you may send your written complaint to Diane H. Engelman, Ph.D., at 21 Tamal Vista Blvd., #162, Corte Madera, CA 94925.

You may also send a written complaint to the Secretary of the U.S. Department of Health and Human Services. The person listed above can provide you with the appropriate address upon request.

You have specific rights under the Privacy Rule. I will not retaliate against you for exercising your right to file a complaint.

VI. Effective Date, Restrictions, and Changes to Privacy Policy

This notice went into effect June 15, 2014. I reserve the right to change the terms of this notice and to make the new notice provisions effective for all PHI that I maintain. I will provide you with a revised notice by mailing it to you within 14 days.